



# Optimization of time-frequency transforms for audio coding using a perceptive measure of distortion and a sparsity constraint

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- ▶ 1. Compression of audio signal and time-frequency transforms
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- ▶ 3. Choosing suitable time-frequency transforms
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# 1. Compression of audio signals and t-f transforms

## Definition of audio coding

- ▶ Minimize the amount of information to be stored/transmitted for near-perfect audio quality
- ▶ or maximize the audio quality for a given amount of information

## Link with time-frequency transforms

- ▶ State-of-the art codec (MP3, AAC) rely on invertible time-frequency transforms (PQMF-Banks, MDCT)
- ▶ because audition can be efficiently modeled in the TF domain

# 1. Compression of audio signals and t-f transforms

## Sparsity in audio coding

- ▶ Reducing the amount of information is achieved by
  - ▶ Setting some coefficients to zero
  - ▶ Re-quantize non-zero coefficients
- ▶ Example: AAC @ 128 kbps
  - ▶ Stored/transmitted information: 10% of the original
  - ▶ Non-zero coefficients: 30% of the original
  - ▶ Remaining 20%: re-quantization
- ▶ A sparse representation is desirable for audio coding
- ▶ Target sparsity value : 30% non-zero coefficients or less

## 2. Writing compression as a standard optimization problem

- ▶ Consider discrete-time,  $N$  samples long, real-valued signals:  
 $\mathbf{x} \in \mathbb{R}^N$

### The coding transform

- ▶ Consider a time-frequency transform characterized by
- ▶ An analysis dictionary:  $\mathbf{A} = \{\phi_1^H \cdots \phi_M^H\}$ ,  $\phi_m \in \mathbb{C}^N$
- ▶ A synthesis dictionary:  $\mathbf{S}^T = \{\psi_1^T \cdots \psi_M^T\}$ ,  $\psi_m \in \mathbb{C}^N$
- ▶ The analysis operator is:  $\mathbf{y} = \mathbf{x} \mathbf{A} \Leftrightarrow y_m = \langle \mathbf{x}, \phi_m \rangle \quad \forall m$
- ▶ The synthesis operator is:  $\hat{\mathbf{x}} = \mathbf{y} \mathbf{S} \Leftrightarrow \hat{\mathbf{x}} = \sum_m y_m \psi_m$
- ▶ Perfect reconstruction  $\Leftrightarrow \mathbf{A} \mathbf{S} = \mathbf{I}_N$ , which implies  $M \geq N$

## 2. Writing compression as a standard optimization problem

### The perceptual transform

- ▶ A relevant measure for perceived distortion can be computed using a perceptual time-frequency transform of size  $Q \geq M$
- ▶ The analysis dictionary is:  $\mathbf{P} = \{\mathbf{p}_1^H \cdots \mathbf{p}_Q^H\}$ ,  $\mathbf{p}_q \in \mathbb{C}^Q$
- ▶ There is no need for a synthesis dictionary
- ▶ We assume that perceptual weights  $\mu_q > 0$  associated to each vector  $\mathbf{p}_q$  can be computed using an audition model

### The perceptual distortion measure

$$D_p = \| (\mathbf{x} - \hat{\mathbf{x}}) \mathbf{P} \Delta_\mu \|^2$$

with  $\Delta_\mu = \text{diag}(\mu_1, \dots, \mu_Q) \Rightarrow D_p = \text{weighted L2 norm of the error}$

## 2. Writing compression as a standard optimization problem

Re-writing the perceptual distortion measure

$$D_p = \| (\mathbf{x} \mathbf{P} - \mathbf{y} \mathbf{S} \mathbf{P}) \Delta_\mu \|^2$$

Formulating the coding problem

- ▶ Find  $\mathbf{y}$  that minimizes  $D_p$
- ▶ If we consider the quantization of  $y_m$ ,  $\mathbf{y}$  is searched only in a finite subset of  $\mathbb{R}^K$ . *That will not be considered for the moment*
- ▶ This is a weighted-L2 optimization problem of the form:

$$\text{Argmin}_{\mathbf{y}} \left[ \| (\mathbf{g} - \mathbf{y} \mathbf{K}) \Delta_\mu \|^2 \right]$$

- ▶ where  $\mathbf{K} = \mathbf{S} \mathbf{P}$  is called the mixture matrix (size  $M \times Q$ )

## 2. Writing compression as a standard optimization problem

### Finding solutions to the coding problem

- ▶ The existence of solutions mainly depends on the properties of  $\mathbf{K}$
- ▶ If  $\text{rk}(\mathbf{K}) = M$ , the solution is unique:  $\tilde{\mathbf{y}} = \mathbf{g} \mathbf{K}^\dagger$
- ▶ Otherwise, there is an infinite set of equivalent solutions
- ▶ For selecting "the best" solution, or when  $\mathbf{K}$  is badly conditioned, one usually add a regularization term that promotes sparsity:

$$\text{Argmin}_{\mathbf{y}} [\| (\mathbf{g} - \mathbf{y} \mathbf{K}) \Delta_{\mu} \|^2 + \lambda \| \mathbf{y} \|^p]$$

- ▶ Finding a sparse solution is especially desirable in audio coding



### 3. Choosing suitable time-frequency transforms

#### Choosing a perceptual transform: **P**

- ▶ Constrained by the existence of an earing model to compute  $\mu_q$
- ▶ DFT or MDCT: work with standard MPEG hearing models
- ▶ Constant-Q or ERBLett: more sophisticated models available

#### Choosing a coding transform: **A** and **S**

- ▶ Audio signals should naturally have sparse representations in the transform domain
- ▶ Perfect reconstruction is not necessary
- ▶ The choice shall depend on the rank of  $\mathbf{K} = \mathbf{SP}$
- ▶ If  $\text{rk}(\mathbf{K}) \ll M$ , there are many local minima and the practical solution strongly depends on the initialization
- ▶ A good choice corresponds to  $\text{rk}(\mathbf{K}) \simeq M$

### 3. Choosing suitable time-frequency transforms

#### Solutions that work

- ▶  $\mathbf{A} = \mathbf{P}$  is a single MDCT  
Then  $\mathbf{S} = \mathbf{A}^T$  and  $\mathbf{K} = \mathbf{I}_M \Rightarrow$  the problem is diagonal  
This is a trivial case: the solution is obtained by thresholding  $\mathbf{g}$
- ▶  $\mathbf{A} = \mathbf{P}$  is union of MDCTs with different sizes  
Then  $\mathbf{S} = \mathbf{A}^T$  and  $\mathbf{A}\mathbf{S} \neq \mathbf{I}_N \Leftrightarrow$  no perfect reconstruction  
 $\mathbf{K} \neq \mathbf{I}_M$  and  $\text{rk}(\mathbf{K}) < M \Leftrightarrow$  many local minima  
But  $\mathbf{K}$  is a very sparse matrix: when thresholding very small values to zero we get  $\text{rk}(\mathbf{K}) = M$

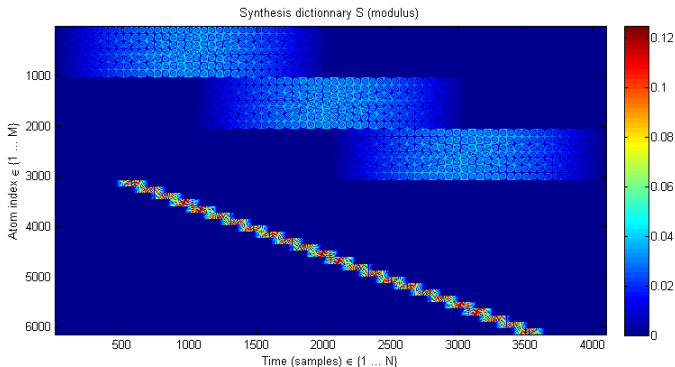
#### Solutions that does not work (for the moment)

- ▶  $\mathbf{A}$  is a MDCT and  $\mathbf{P}$  is an ERBLett  
 $\text{rk}(\mathbf{K}) \ll M$  and the problem can not be regularized properly
- ▶ But things seem to get better with the *real part* of an ERBLett

## 4. Preliminary results with union of MDCTs

### Analysis/synthesis matrix

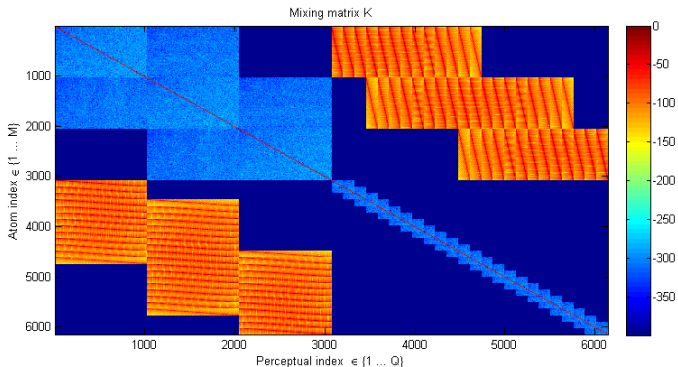
- ▶ We choose the union of 2 MDCTs: 1024 bands and 128 bands
- ▶ idem AAC, but here both MDCTs can be used simultaneously
- ▶ For plots, we choose  $N = 4096 \Rightarrow M = 6144$



## 4. Preliminary results with union of MDCTs

### Perceptual matrix and mixture matrix

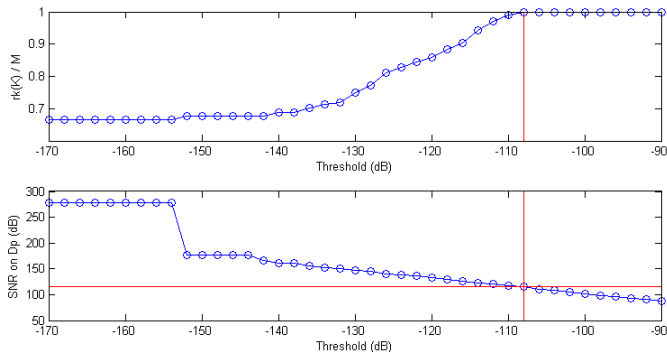
- ▶ We assume  $\mathbf{P} = \mathbf{A} = \mathbf{S}^T \Rightarrow \mathbf{Q} = \mathbf{M}$
- ▶ Then  $\mathbf{K} = \mathbf{S} \mathbf{S}^T \Rightarrow K(m, q) = \langle \phi_m, \phi_q \rangle$
- ▶  $\text{rk}(\mathbf{K}) = N = 4096 < M = 6144$



## 4. Preliminary results with union of MDCTs

### Thresholding the mixture matrix

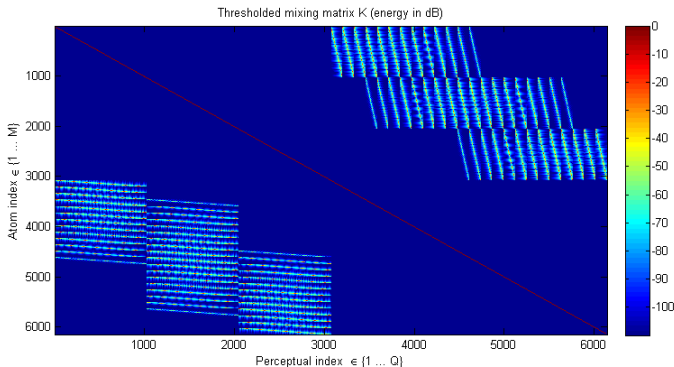
- ▶ We set a threshold  $T$  so that  $K(m, q) \mapsto 0$  if  $K(m, q) < T$
- ▶ This implies an error on the estimation of the distortion  $D_p$
- ▶  $T = -108$  dB  $\Rightarrow$   $SNR = 110$  dB (near perfect) and  $\text{rk}(\mathbf{K}) = M$



## 4. Preliminary results with union of MDCTs

### Thresholded mixture matrix

- ▶  $T = -108$  dB
- ▶  $\text{rk}(\mathbf{K}) = M \Rightarrow$  there is a unique solution to the optimization problem, i.e. the approximation of  $D_p$  is convex



## 4. Preliminary results with union of MDCTs

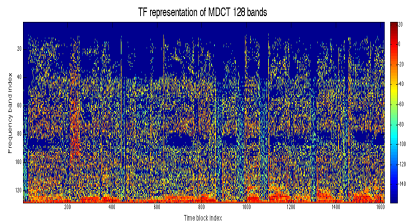
### Implementations details

- ▶ The perceptual weights  $\mu_q$  are computed for both resolutions (1024 and 128 bands) with the MPEG #2 hearing model
- ▶ The target  $\mathbf{g} = \mathbf{x} \mathbf{P}$  is computed using a standard MDCT implementation
- ▶ The thresholded mixture matrix is stored as a sparse matrix
- ▶ The signal is divided in macro-blocks, and the optimization is performed independently on each macro-block
- ▶ No redundancy is added when macro-blocks overlap
- ▶ The sparsity level is set by the regularization constant  $\lambda$

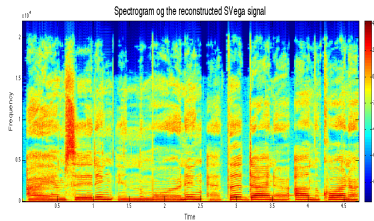
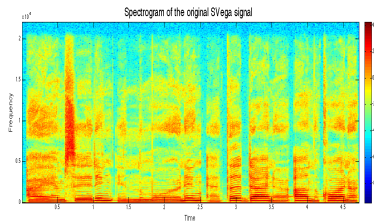
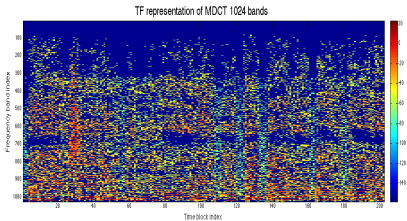
# 4. Preliminary results with union of MDCTs

Sparsity rate = 43 %

SVega original signal



SVega Reconstructed signal

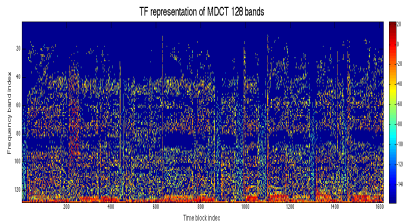




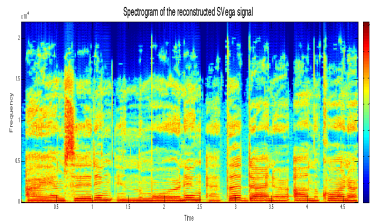
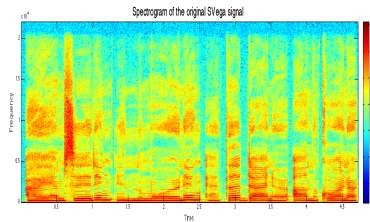
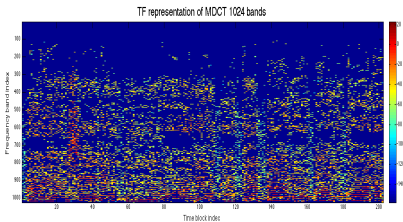
# 4. Preliminary results with union of MDCTs

Sparsity rate = 66 %

SVega original signal



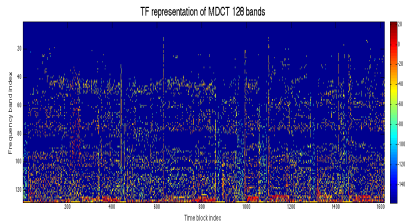
SVega Reconstructed signal



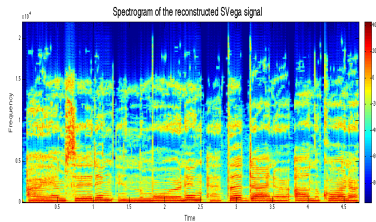
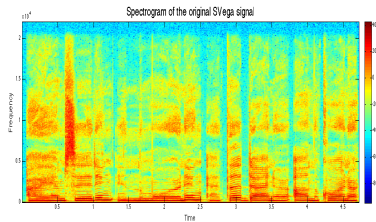
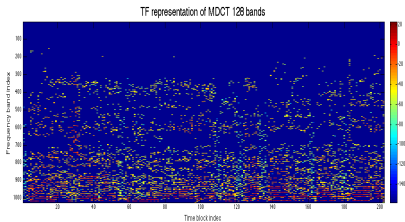
# 4. Preliminary results with union of MDCTs

Sparsity rate = 83 %

SVega original signal



SVega Reconstructed signal



## 5. Perspectives

- ▶ Try different time-frequency transforms for **S** and **P** in order to find the couple which offers the best tradeoff between perceived audio quality and sparsity rate
- ▶ Try a more sophisticated perceptive model, different from the MPEG #2
- ▶ Include the quantization step in the optimization algorithm